

ANNUAL STATEMENT

For the Year Ended December 31, 2009 OF THE CONDITION AND AFFAIRS OF THE

HumanaDental Insurance Company

NAIC Group Code	0119	, 0119	NAIC Company	/ Code	70580	Employer's	ID Number _	39-0714280
_	(Current Period)	(Prior Period)	_	_				
Organized under the La	iws of	Wiscon	sin		of Domicile or F	Port of Entry	\	Visconsin
Country of Domicile				United	d States			
Incorporated/Organized	l	01/01/1908	Co	mmenced	Business		10/12/1	908
Statutory Home Office		1100 Employe	rs Boulevard		,	DeP	ere, WI 54115	<u>-</u> 5
		(Street and					vn, State and Zip	
Main Administrative Off	ice1	100 Employers Bou			DePere, W			920-336-1100
Matt Addes -		(Street and Number)		(City or Town, State		,	a Code) (Telephone Number)
Mail Address	(Ctro	PO Box 740036 et and Number or P.O. Bo	w\	_ '			7 40201-7436 ate and Zip Code)	
Primary Location of Boo	,		oyers Boulevard		DePere	e, WI 54115	ate and Zip Code)	920-336-1100
Timary Location of Boo	ons and records		t and Number)			State and Zip Code	(Area	a Code) (Telephone Number)
Internet Web Site Addre	ess	•	,	www.h	numana.com	·	,	, , ,
Statutory Statement Co		C	athy Staebler			50	2-580-2712	
oldidiory oldioment oo	maor		(Name)		-		ephone Number)	(Extension)
	cstaebler@hum	ana.com	()			502-580-20		(=,
	(E-Mail Addr	ess)				(FAX Numbe	er)	
			OFFIC	ERS				
Name		Title			Name			Title
Gerald Lawrence	Ganoni	Preside	ent	Jo	an Olliges Lena	han	VP and C	orporate Secretary
James Harry B		Sr. VP, CFO &			rank Murray Am			ointed Actuary
		·	OTHER O					
O O	f - !d	\					000	D
George Grant Bau		Vice Pres Vice Pres			eth Diane Bierb			Specialty Benefits ce President
John Gregory C Mark Matthew M		VP - Dental Seg			nn Edward Lum en Stephenson F			ent & Asst. Secretary
Gilbert Alan Ste	,	VF - Delital Seg			Villiam Joseph 1			ce President
Gary Dean Thor		Vice Pres			a Louise Weave			ce President
Gary Dean Thor	<u>,</u> ,					,	VIC	e Fresident
		DIF	RECTORS O	RIKU	SIEES			
James Harry B	loem	Michael Benedic	t McCallister	Já	ames Elmer Mu	rray ,	Melissa Lo	uise Weaver M.D. #
State of County of The officers of this reportir above, all of the herein de that this statement, togeth liabilities and of the condition and have been completed may differ; or, (2) that stat knowledge and belief, resp	Jefferson dul scribed assets we ser with related ex on and affairs of t in accordance with e rules or regulatic pectively. Furtherm	y sworn, each depose re the absolute proper hibits, schedules and he said reporting entity in the NAIC Annual Stat ons require differences lore, the scope of this	ty of the said reporting explanations thereing as of the reporting pement Instructions are in reporting not relatestation by the design of the said attestation by the design of the said the sa	g entity, fre contained, period stated decounting ted to accounting scribed office.	e and clear from a annexed or referr d above, and of its ng Practices and F unting practices a ers also includes	any liens or clair red to, is a full a s income and de Procedures man nd procedures, a the related corre	ns thereon, exc and true statem ductions thereful except to the according to the exponding elect	cept as herein stated, and nent of all the assets and from for the period ended, e extent that: (1) state law best of their information, tronic filing with the NAIC,
Pro-	wrence Ganoni esident before me this	lary, 2010	Joan Ollige VP and Corpo		a. Is this b. If no:, 1. Sta 2. Dat	an original filing te the amendme e filed	nt number	,
Mura Carpontar Natari	Dublio				3. Nur	nber of pages at	tached	
Myra Carpenter, Notary August 9, 2013	PuDIIC							



ACCIDENT AND HEALTH POLICY EXPERIENCE EXHIBIT FOR YEAR

United States Policy Forms Direct Business Only For The Year Ended December 31, 2009 (To Be Filed by April 1)

NAIC Group Code 0119 NAIC Company Code 70580

		1 Premiums Earned	2 Incurred Claims Amount	3 Change in Contract Reserves	4 Loss Ratio (2+3)/1	5 Number of Policies or Certificates as of Dec. 31	6 Number of Covered Lives As of Dec. 31	7 Member Months
A. INDI	VIDUAL BUSINESS	Larried	Claime / uncart	Contract (Cool (Co	(2.0), 1	40 01 200. 01	7.0 01 200. 01	World
	prehensive Major Medical							
	With Contract Reserves				0.000			
1.2	Without Contract Reserves				0.000			
1.3	Subtotal	0	0	0	0.000	0	0	0
2. Short	t-Term Medical	•	<u>.</u>	•		•		
2.1	With Contract Reserves				0.000			
2.2	Without Contract Reserves							
2.3	Subtotal	0	0	0	0.000	0	0	0
	r Medical (Non-Comprehensive)							
3.1	With Contract Reserves				0.00.0			
3.2	Without Contract Reserves	ļ			0.00 Q			
3.3	Subtotal	0	0	0	0.000	0	0	0
	ified/Named Disease	_						
4.1	With Contract Reserves				0.000			
4.2	Without Contract Reserves				0.000			
4.3 5. Limite	Subtotal	0	0	0	0.000	0	U	0
	With Contract Reserves				0.000			
5.1 5.2	With Contract Reserves Without Contract Reserves				0.000 0.000			
5.3	Subtotal	0			0.000			
6. Stude		0	0	0	0.000	0	0	0
6.1	With Contract Reserves			ı	0.000		ı	
6.2	Without Contract Reserves				0.000			
6.3	Subtotal	0	0	0	0.000	0	0	0
	dent Only or AD&D	, i	Ü	• 1	0.000	<u> </u>	ŭ	
7.1	With Contract Reserves			I	0.000		I	
7.2	Without Contract Reserves				0.000			
7.3	Subtotal	0	0	0	0.000	0	0	0
8. Disal	oility Income – Short-Term		- 1	• •		-	•	
8.1	With Contract Reserves			I	0.000			
8.2	Without Contract Reserves				0.000			
8.3	Subtotal	0	0	0	0.000	0	0	0

ACCIDENT AND HEALTH POLICY EXPERIENCE EXHIBIT FOR YEAR

United States Policy Forms Direct Business Only For The Year Ended December 31, 2009

(To Be Filed by April 1)

			(To Be Filed by April 1)				
	1 Premiums Earned	2 Incurred Claims Reserves	3 Change in Contract Reserves	4 Loss Ratio (2+3)/1	5 Number of Policies or Certificates as of Dec. 31	6 Number of Covered Lives As of Dec. 31	7 Member Months
A. INDIVIDUAL BUSINESS (Continued)							
Disability Income – Long-Term							
9.1 With Contract Reserves	42,229	334,493	(8,830)	771.183	161	161	1,932
9.2 Without Contract Reserves				0.000			
9.3 Subtotal	42,229	334,493	(8,830)	771.183	161	161	1,932
10. Long-Term Care							
10.1 With Contract Reserves				0.000			
10.2 Without Contract Reserves				0.000 L			
10.3 Subtotal	0	0	0	0.000	0	0	0
11. Medicare Supplement (Medigap)							
11.1 With Contract Reserves				0.000			
11.2 Without Contract Reserves				0.000 L			
11.3 Subtotal	0	0	0	0.000	0	0	0
12. Dental							
12.1 With Contract Reserves				0.000			
12.2 Without Contract Reserves	17,456,888	10 ,709 ,198		61.347	36,723	55 , 138	608,256
12.3 Subtotal	17,456,888	10,709,198	0	61.347	36,723	55,138	608,256
13. State Children's Health Insurance Program							
13.1 With Contract Reserves				0.000 L			
13.2 Without Contract Reserves				0.000			
13.3 Subtotal	0	0	0	0.000	0	0	0
14. Medicare							
14.1 With Contract Reserves				0.000			
14.2 Without Contract Reserves				0.000			
14.3 Subtotal	0	0	0	0.000	0	0	0
15. Medicaid							
15.1 With Contract Reserves				0.000			
15.2 Without Contract Reserves				0.000			
15.3 Subtotal	0	0	0	0.000	0	0	0
16. Medicaid Part D – Stand-Alone							
16.1 With Contract Reserves				0.000			
16.2 Without Contract Reserves				0.000			
16.3 Subtotal				0.000			
17. Other Individual Business							
17.1 With Contract Reserves				0.000			
17.2 Without Contract Reserves				0.000			
17.3 Subtotal	0	0	0	0.000	0	0	0
18. Total Individual Business			_		_		
18.1 With Contract Reserves	42,229	334,493	(8,830)	771 . 183	161	161	1,932
18.2 Without Contract Reserves	17,456,888	10,709,198	0	61.347	36,723	55,138	608,256
19 Grand Total Individual	17,499,117	11,043,691	(8,830)	63.060	36,884	55,299	610,188

ACCIDENT AND HEALTH POLICY EXPERIENCE EXHIBIT FOR YEAR

United States Policy Forms Direct Business Only For The Year Ended December 31, 2009 (To Be Filed by April 1)

			(To Be Filed by April 1)				
	1 Premiums Earned	2 Incurred Claims Reserves	3 Change in Contract Reserves	4 Loss Ratio (2+3)/1	5 Number of Policies or Certificates as of Dec. 31	6 Number of Covered Lives As of Dec. 31	7 Member Months
B. GROUP BUSINESS							
Comprehensive Major Medical							
Single Employer							
1.1 Small Employer				0.000			
1.2 Other Employer				0.000			
1.3 Single Employer Subtotal	0	0	0	0.000	0	0	0
Multiple Employer Assns and Trusts				0.00.0			
Other Associations and Discretionary Trusts				0.000			
Other Comprehensive Major Medical				0.00.0			
5. Comprehensive/Major Medical Subtotal	0	0	0	0.000	0	0	0
Other Medical (Non-Comprehensive)							
Specified/Named Disease				0.000			
7. Limited Benefit				0.00			
8. Student				0.000			
Accident Only or AD&D				0.000			
Disability Income – Short-Term				0.000			
11. Disability Income – Long-Term				0.000			
12. Long-Term Care				0.000			
13. Medicare Supplement (Medigap)				0.000			
14. Federal Employees Health Benefit Plans				0.000			
15. Tricare				0.000			
16. Dental	277 ,759 ,322	189,236,665		68.130	450,674	859,894	10,246,458
17. Medicare				0.000			
18. Medicare Part D – Stand-Alone				0.000			
19. Other Group Care				0.000			
20. Grand Total Group Business	277,759,322	189,236,665	0	68.130	450,674	859,894	10,246,458
C. OTHER BUSINESS							
Credit (Individual and Group)				0.000			
Stop Loss/Excess Loss				0.00			
Administrative Services Only	XXX	XXX	XXX	XXX			
Administrative Services Contracts	XXX	XXX	XXX	XXX			
5. Grand Total Other Business	0	0	0	0.000	0	0	0
D. TOTAL BUSINESS			'				
Total Non U.S. Policy Forms				0.000			
2. Grand Total Individual, Group and Other Business	295,258,439	200,280,356	(8,830)	67.829	487,558	915,193	10,856,646
<u> </u>		,,	(-,)				, , -

ACCIDENT AND HEALTH POLICY EXPERIENCE EXHIBIT FOR YEAR

PART 1 - INDIVIDUAL POLICIES

SUMMARY

	1	2	3	4 Loss Ratio
Description	Premiums Earned	Incurred Claims Amount	Change in Contract Reserves	(2+3)/1
U.S. Forms Direct Business	17,499,117	11,043,691	(8,830)	63.060
Other Forms Direct Business				0.000
Total Direct Business	17,499,117	11,043,691	(8,830)	63.060
Reinsurance Assumed				0.000
Less Reinsurance Ceded	42,229	334,493	(8,830)	771.183
6. Total	17,456,888	10,709,198	0	61.347

PART 2 - GROUP POLICIES

SUMMARY

	1	2	3	4
				Loss Ratio
Description	Premiums Earned	Incurred Claims Amount	Change in Contract Reserves	(2+3)/1
U.S. Forms Direct Business	277,759,322	189,236,665		68.130
Other Forms Direct Business				0.000
Total Direct Business	277,759,322	189,236,665	0	68.130
Reinsurance Assumed				0.000
Less Reinsurance Ceded				0.000
6. Total	277,759,322	189,236,665	0	68.130

PART 3 - CREDIT POLICIES (Individual and Group)

SUMMARY

	1	2	3	4
				Loss Ratio
Description	Premiums Earned	Incurred Claims Amount	Change in Contract Reserves	(2+3)/1
U.S. Forms Direct Business				0.000
Other Forms Direct Business				0.000
Total Direct Business	0	L0	0	0.000
Reinsurance Assumed				0.000
Less Reinsurance Ceded				0.000
6. Total	0	0	0	0.000

PART 4 – ALL INDIVIDUAL, GROUP AND CREDIT POLICIES

SUMMARY

	1	2	3	4
Description	Premiums Earned	Incurred Claims Amount	Change in Contract Baseries	Loss Ratio (2+3)/1
Description	Premiums Eamed	incurred Claims Amount	Change in Contract Reserves	(2+3)/ 1
U.S. Forms Direct Business	295,258,439	200,280,356	(8,830)	
Other Forms Direct Business	0	0	0	0.000
Total Direct Business	295,258,439	200,280,356	(8,830)	67.829
Reinsurance Assumed	0	0	0	0.000
Less Reinsurance Ceded	42,229	334,493	(8,830)	771.183
6. Total	295,216,210	199,945,863	0	67.729



SUPPLEMENTAL INVESTMENT RISKS INTERROGATORIES

For The Year Ended December 31, 2009

(To Be Filed by April 1)

Of The Hur	manaDental	Insuran	ce Compan	у									
Address (C	City, State	and Zip C	ode) Del	Pere, WI 54115									
NAIC Grou	up Code	0119		N	AIC Comp	any Code 7	0580		[Employer's ID Number	39-0714280		
The Invest	ment Risk	s Interrog	atories ar	e to be filed by Apr	il 1. They	are also to be	inclu	ded with t	he Audited Statutor	y Financial Statements			
Answer the investment		j interroga	atories by	reporting the app	icable U.	S. dollar amo	unts a	and perce	entages of the repo	rting entity's total adm	itted assets held in that	categ	ory of
1. Re	eporting en	itity's total	admitted	assets as reported	l on Page 2	2 of this annua	al sta	tement			\$92	2,292,	607
2. Te	en largest e	exposures	to a singl	e issuer/borrower/	nvestment	i.							
			<u>1</u>				<u>2</u>			<u>3</u>	<u>4</u> Percentage of Tot	·al	
			Issue	<u>r</u>		Descriptio	n of E	xposure		<u>Amount</u>	Admitted Assets		
2.01	JPM0RG.	AN PRIME	MONEY MAR	RKET FUND	BONDS				\$	7 , 124 , 805		.7.7	%
2.02	GOLDMA	N SACHS G	GROUP INC.		BONDS				\$	2,667,893		.2.9	%
2.03	SAN FR	ANCISCO C	CALIF CIT	/ &	BONDS				\$	1,599,531		_1.7	%
2.04	COMCAS	T CORP			BONDS				\$	1,340,515		_1.5	%
2.05	COX CO	MMUNICATI	ONS INC		BONDS				\$	1,259,802		_1.4	%
2.06	TIME W	ARNER CAE	BLE INC		BONDS				\$	1,132,734		_1.2	%
2.07	GENERA	L ELEC CA	NP CORP		BONDS				\$	1,042,508		_1.1	%
2.08	SALOMO	N BROTHER	RS MORTGA	SE SECURITIES	BONDS				\$	1,007,804		_1.1	%
2.09	MARYLA	ND ST			BONDS				\$	1,006,123		_1.1	%
2.10	NORTH	CAROL INA	ST		BONDS				\$	999, 196		_1.1	%
3. An	nounts and	d percenta	ages of the	e reporting entity's	total admit	ted assets he	ld in b	onds and	I preferred stocks by	y NAIC rating.			
_	Bon	ds		1		2	_		Preferred Stocks	3	4		_
3.01	NAIC	C-1	\$	79,978,166		86.7	%	3.07	P/RP-1	\$		0.0	%
3.02	NAIC	C-2	\$	8,925,677		9.7	%	3.08	P/RP-2	\$		0.0	%
3.03	NAIC	C-3	\$	1,202,280		1.3	%	3.09	P/RP-3	\$		0.0	%
3.04	NAIC	C-4	\$	450 , 731		0.5	%	3.10	P/RP-4	\$		0.0	%
3.05	NAIC	C-5	\$	70,000		0.1	%	3.11	P/RP-5	\$		0.0	%
3.06	NAIC	C-6	\$	0		0.0	%	3.12	P/RP-6	\$		0.0	%
4. As	sets held i	in foreign	investmer	nts:									
4.0			_			•	_	-			Yes [] N	lo [X]
		•		e is yes, response		•	•						
4.0													
4.0													%
4.0	04 Insur	ance liabi	lities dend	minated in that sa	me foreign	currency			\$			0.0	%

SUPPLEMENTAL INVESTMENT RISKS INTERROGATORIES (cont.)

Aggregate foreign investment exposure categorized by NAIC sovereign rating: ...4,225,924 5.01 Countries rated NAIC-1_____ 5.02 Countries rated NAIC-2 5.03 Countries rated NAIC-3 or below Largest foreign investment exposures by country, categorized by the country's NAIC sovereign rating: Countries rated NAIC-1: **\$**1,168,396 6.01 Country 1: Canada.... Countries rated NAIC-2: 6.03 Country 1: _____ 0.0 % 6.04 Country 2: _____ \$ 0.0 Countries rated NAIC-3 or below: 6.05 Country 1: _____ 0.0 % 6.06 Country 2: 0.0 % Aggregate unhedged foreign currency exposure...... ...0.0 % Aggregate unhedged foreign currency exposure categorized by NAIC sovereign rating: 8.01 Countries rated NAIC - 1 8.02 Countries rated NAIC - 2_________\$ 8.03 Countries rated NAIC - 3 or below_____ Largest unhedged foreign currency exposures by country, categorized by the country's NAIC sovereign rating: Countries rated NAIC - 1: 9.01 Country 1: 0.0 9.02 Country 2: 0.0 Countries rated NAIC - 2: 9.03 Country 1: _____ 0.0 9.04 Country 2: Countries rated NAIC - 3 or below: 9.05 Country 1: _____ \$ 0.0 10. Ten largest non-sovereign (i.e. non-governmental) foreign issues: 3 2 NAIC Rating995,444 10.01 EKSPORTFINANS A/S \$ _____973,332 10.02 NOVARTIS SECS INVEST LTD 10.03 TELECOM ITALIA CAPITAL....723,650434,371 10.04 DEVON FINANCING CORP ULC.....0.5 10.05 MARATHON OIL CANADA CORP.....2342,883 0.4 \$ _____169,818 10.06 NEXEN INC 0.2 **\$** _____166,366 0.2 10.07 ARCELORMITTAL USA PART 10.08 ROGERS COMMUNICATIONS 2 **\$** _____54,957 0.1 10.09 CIE GEN GEOPHYSIQUE 3 0.0 10.10 SEAGATE TECHNOLOGY HDD H.

SUPPLEMENTAL INVESTMENT RISKS INTERROGATORIES (cont.)

11.	Amounts and percentages of the reporting entity's total admitted assets held in Canadian investments and unhedged Canadian currency exposure:		
	11.01 Are assets held in Canadian investments less than 2.5% of the reporting entity's total admitted assets?	Yes [X]	No []
	If response to 11.01 is yes, detail is not required for the remainder of Interrogatory 11.		
12.	Report aggregate amounts and percentages of the reporting entity's total admitted assets held in investments with contractual sales restrictions.		
	12.01 Are assets held in investments with contractual sales restrictions less than 2.5% of the reporting entity's total admitted assets?	Yes [X]	No []
	If response to 12.01 is yes, responses are not required for the remainder of Interrogatory 12.		
13.	Amounts and percentages of admitted assets held in the ten largest equity interests:		
	13.01 Are assets held in equity interest less than 2.5% of the reporting entity's total admitted assets?	Yes [X]	No []
	If response to 13.01 above is yes, responses are not required for the remainder of Interrogatory 13.		

SUPPLEMENTAL INVESTMENT RISKS INTERROGATORIES (cont.)

14.	Amounts and percentages of the reporting entity's total admitted assets held in nonaffiliated, privately placed equities:	
	14.01 Are assets held in nonaffiliated, privately placed equities less than 2.5% of the reporting entity's total admitted assets?	Yes [X] No []
	If response to 14.01 above is yes, responses are not required for the remainder of Interrogatory 14.	
15.	Amounts and percentages of the reporting entity's total admitted assets held in general partnership interests:	
	15.01 Are assets held in general partnership interests less than 2.5% of the reporting entity's total admitted assets?	Yes [X] No []
	If response to 15.01 is yes, responses are not required for the remainder of Interrogatory 15.	
16.	Amounts and percentages of the reporting entity's total admitted assets held in mortgage loans:	
	16.01 Are mortgage loans reported in Schedule B less than 2.5% of the reporting entity's total admitted assets?	Yes [X] No []
	If response to 16.01 above is ves, responses are not required for the remainder of Interrogatory 16 and Interrogatory 17	

SUPPLEMENTAL INVESTMENT RISKS INTERROGATORIES (cont.)

									s: Lo	oans	
	2 Construction L	oans					\$.0 %
10.13										(
17. Aggre	egate mortgage	oans having the follo	wing loan-to-value ratio	os as	detern	nined from the mo	st current appraisa	as c	of the annual s	statement date:	
l	₋oan-to-Value	Re	esidential			Comm	ercial			Agricultural	
		<u>1</u>	<u>2</u>			<u>3</u>	<u>4</u>		<u> </u>	<u>6</u>	
17.0	1 above 95%	\$	0.0								
17.02	2 91% to 95%	\$	0.0	%	\$		0.0	%	\$		0.0 %
17.03	3 81% to 90%		0.0							(
17.04	4 71% to 80%		0.0								
17.0	5 below 70%	\$	0.0	%	\$		0.0	%	\$	(.0 %
	Interrogatory	18.	ves, responses are n				er of				
	Largest five in	ivestments in any one									
				iligut	ous pai	cels of real estate					
40.6			Description 1		·				<u>2</u>	<u>3</u>	0 0
18.0 18.0			Description 1		•				<u>2</u>	<u>3</u> 0	
18.0 18.0)3)4 		Description 1					\$		0	.0 %
18.0)3)4)5		Description 1					\$ \$ \$		0 0	.0 % .0 %
18.0 18.0 18.0 18.0	03 04 05 06		Description 1					\$ \$ \$		0 0 0 0	.0 % .0 %
18.0 18.0 18.0 19. Rep	03	mounts and percentag	Description 1	tity's	total ad	mitted assets held	d in investments he	\$ \$ \$ \$ Id in	mezzanine re		.0 % .0 % .0 %
18.0 18.0 18.0 19. Rep	oort aggregate an	mounts and percentage eld in investments he to 19.01 above is	Description 1 ges of the reporting en	tity's	total ad	mitted assets heldess than 2.5% of the	d in investments he	\$ \$ \$ \$ Id in	mezzanine re		.0 % .0 % .0 %
18.0 18.0 18.0 18.0 19. Rep	ort aggregate and of response Interrogatory	mounts and percentage eld in investments he to 19.01 above is 19.01.	Description 1 ges of the reporting ended in mezzanine real ended yes, responses are response are responses are responses are responses are responses are response are responses are response are responses are response are respo	tity's t	total ad loans l	mitted assets held ess than 2.5% of t for the remaind	d in investments he reporting entity'	\$ \$ \$ Id in	mezzanine re al admitted as		.0 % .0 % .0 % .0 %
18.0 18.0 18.0 18.0 19. Rep	03 04 05 06 06 07 07 07 07 07 07 07 07 07 07 07 07 07	mounts and percentage eld in investments he to 19.01 above is 719.	Description 1/2 1 2 2 2 2 2 2 2 2	tity's state	total ad loans l equired	mitted assets held ess than 2.5% of t for the remaind	d in investments he reporting entity'	\$ \$ \$ Id in	mezzanine re		.0 % .0 % .0 %
18.0 18.0 18.0 18.0 19. Rep	03 04 05 06 06 07 07 07 07 07 07 07 07 07 07 07 07 07	mounts and percentage eld in investments he to 19.01 above is 719.	Description 1 ges of the reporting ended in mezzanine real ended yes, responses are response are responses are responses are responses are responses are response are responses are response are responses are response are respo	tity's state	total ad loans l equired	mitted assets held ess than 2.5% of t for the remaind	d in investments he reporting entity'	\$ \$ \$ Id in	mezzanine re al admitted as		.0 % .0 % .0 % .0 %

SUPPLEMENTAL INVESTMENT RISKS INTERROGATORIES (cont.)

20. Amounts and percentages of the reporting entity's total admitted assets subject to the following types of agreements:

	At Year-end			At End of Each Quarter			
				1st Qtr	2nd Qtr	3rd Qtr	
	<u>1</u>	2		<u>3</u>	<u>4</u>	<u>5</u>	
20.01 Securities lending agreements (do not include assets held as collateral for							
such transactions)	\$ 999,980	1.1	%	\$ 3,818,469	\$ 2,577,954	\$ 1,999,789	
20.02 Repurchase agreements	\$	0.0	%	\$	\$	\$	
20.03 Reverse repurchase							
agreements	\$	0.0	%	\$	\$	\$	
20.04 Dollar repurchase agreements 20.05 Dollar reverse repurchase	\$	0.0	%	\$	\$	\$	
agreements	\$	0.0	%	\$	\$	\$	

21. Amounts and percentages of the reporting entity's total admitted assets for warrants not attached to other financial instruments, options, caps, and floors:

		<u>Owned</u>			<u>Written</u>		
		1	2		3	4	
21.01	Hedging	\$	0.0	%	\$	0.0	%
21.02	Income generation	\$	0.0	%	\$	0.0	%
21.03	Other	\$	0.0	%	\$	0.0	%

22. Amounts and percentages of the reporting entity's total admitted assets of potential exposure for collars, swaps, and forwards:

		At Year-end			At End of Each Quarter			
					1st Qtr	2nd Qtr	3rd Qtr	
		1	2		3	4	5	
22.01	Hedging	\$	0.0	%	\$	\$	\$	
22.02	Income generation	\$	0.0	%	\$	\$	\$	
22.03	Replications	\$	0.0	%	\$	\$	\$	
22.04	Other	\$	0.0	%	\$	\$	\$	

23. Amounts and percentages of the reporting entity's total admitted assets of potential exposure for futures contracts:

		At Year-end		1st Qtr	At End of Each Quarter	<u>r</u> 3rd Qtr	
		<u>1</u>	<u>2</u>	<u> </u>	<u>2110 Qti</u>	<u>510 QII</u>	
23.01	Hedging\$		0.0 %	\$	\$	\$	
23.02	Income generation \$	i	0.0 %	\$	\$	\$	
23.03	Replications\$		0.0 %	\$	\$	\$	
23.04	Other\$		0.0 %	\$	\$	\$	



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Alabama NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 16 040 44 63 855 704 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 44 637 16.040 855,704 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 16.040 0 11. Current Year 44.637 855.704 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Alaska NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 2 490 1 079 253 0 Premiums, considerations and deposits NOT reported in Schedule T, 0 including investment contract receipts credited to a liability account 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 2 490 Λ 1,079,253 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 0 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 1.079.253 0 11. Current Year 2.490 0 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Arizona NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 34 392 18 35 9 085 366 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 34 392 18.357 9,085,366 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 $\,$ Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 18.357 9.085.366 0 11. Current Year 34.392 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Arkansas NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 26 879 20 021 358 317 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 26.879 20,021 358,317 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 26.879 20.021 0 11. Current Year 358.317 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of California NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 255.994 86 937 35 653 833 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 255 994 86.937 35,653,833 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 86.937 35.653.833 0 11. Current Year 255.994 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of Colorado NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 16 519 25 985 13 403 863 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 25 985 16.519 13,403,863 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 16.519 13.403.863 0 11. Current Year 25.985 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of Connecticut NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 10 780 5 505 334 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 10.780 5 505 334 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 10.780 5.505 0 11. Current Year 334 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Delaware NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 14 128 6 383 3 156 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 14.128 6.383 3,156 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 14.128 6.383 0 11. Current Year 3.156 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 997 047 78 008 12 140 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 78.008 12.140 997.047 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 78.008 12.140 997.047 0 11. Current Year DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Florida NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 90 373 423 822 21 318 308 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 423.822 90.373 21,318,308 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 90.373 21.318.308 0 11. Current Year 423.822 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Georgia NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 127 348 39 679 14 623 653 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 127 348 39.679 14,623,653 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 39.679 14.623.653 0 11. Current Year 127.348 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Hawaii NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 2 981 18 539 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 2 981 18 539 0 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 2.981 18.539 0 11. Current Year 0 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Idaho NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 2 425 1 129 500 273 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 2 425 1.129 500,273 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 1.129 500.273 0 11. Current Year 2.425 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Illinois NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 252 249 176 204 29 492 326 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 252.249 176,204 Total (Lines 1 + 2 + 3.99 + 4.99) 29,492,326 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 176.204 0 11. Current Year 252.249 29.492.326 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Indiana NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 248 125 33 205 8 430 863 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 248.125 Total (Lines 1 + 2 + 3.99 + 4.99) 33,205 8,430,863 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 248.125 8.430.863 0 11. Current Year 33.205 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 277 352 20 913 8 932 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 20.913 8.932 277,352 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 20.913 8.932 0 11. Current Year 277.352 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Kansas NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 4 521 1 161 3 864 130 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 4 521 1.161 3,864,130 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 1.161 0 11. Current Year 4.521 3.864.130 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Kentucky NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 54 058 21 754 13 852 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 13,852 Total (Lines 1 + 2 + 3.99 + 4.99) 54 058 21.754 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 54.058 21.754 0 11. Current Year 13.852 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Louisiana NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 29 010 4 379 8 596 379 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 29.010 4 379 8,596,379 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 4,379 29.010 0 11. Current Year 8.596.379 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Maine NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 3 284 2 218 627 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 3.284 2 218 0 627 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 2.218 0 11. Current Year 3.284 627 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Maryland NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 801 703 424 050 3 493 000 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 801.703 424.050 3,493,000 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 801.703 424.050 3.493.000 0 11. Current Year DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Massachusetts NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 215 155 54 935 146 389 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 215 155 54 935 146,389 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 215.155 54.935 146.389 0 11. Current Year DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Michigan NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations .12,777,721 1. Premiums, considerations and deposits from Schedule T 92 949 411 432 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 411 432 92 949 12,777,721 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 411.432 92.949 12.777.721 0 11. Current Year DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Minnesota NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 121 143 3 264 181 6 213 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 121 143 6.213 3,264,181 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 121.143 6.213 3.264.181 0 11. Current Year DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Mississippi NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 23 968 4 899 2 688 259 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 23 968 4 899 2,688,259 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 4.899 0 11. Current Year 23.968 2.688.259 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of Missouri NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 12 350 26 815 9 222 437 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 26.815 12.350 9,222,437 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 12.350 9.222.437 0 11. Current Year 26.815 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of Montana NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 4 681 1 052 339 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 4 681 1 052 339 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 4.681 1.052 0 11. Current Year 339 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Nebraska NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 135 590 .16.77 5 045 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 16.777 5 045 135,590 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 5.045 135.590 0 11. Current Year 16.777 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of Nevada NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 13 38 1 851 4 228 394 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 13 387 1 851 4,228,394 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 1.851 4.228.394 0 11. Current Year 13.387 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of New Hampshire NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 23 974 10 433 430 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 23 974 10.433 430 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 23.974 10.433 0 11. Current Year 430 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of New Jersey NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 371 068 145 112 5 187 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 371.068 145.112 5,187 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 371.068 145.112 5.187 0 11. Current Year DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of New Mexico NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 4 201 1 014 819 920 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 4.201 1.014 819,920 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 4.201 1.014 819.920 0 11. Current Year DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of New York NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 25 135 9 687 10 285 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 25 135 9.687 10,285 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 9.687 0 11. Current Year 25.135 10.285 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of North Carolina NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 172 228 3 774 266 31 334 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 172,228 Total (Lines 1 + 2 + 3.99 + 4.99) 31 334 3,774,266 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 31.334 3.774.266 0 11. Current Year 172.228 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of North Dakota NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 503 829 0 Premiums, considerations and deposits NOT reported in Schedule T, 0 including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 5/13 503,829 0 Λ DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 0 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 0 11. Current Year 543 0 503.829 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Ohio NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 896 278 190 616 16 182 626 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 896.278 190.616 16,182,626 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 16.182.626 0 11. Current Year 896.278 190.616 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 0 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Oklahoma NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 5 000 2 371 2 752 223 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 5 000 2 371 2,752,223 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 2,371 5.000 2.752.223 0 11. Current Year DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Oregon NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 6 495 1 999 5 093 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 6.495 1 999 5,093 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 1.999 5.093 0 11. Current Year 6.495 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of Pennsylvania NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 151 372 356 796 2 194 131 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 151.372 Total (Lines 1 + 2 + 3.99 + 4.99) 356.796 2,194,131 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 151.372 2.194.131 0 11. Current Year 356.796 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Puerto Rico NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 184 64 0 Premiums, considerations and deposits NOT reported in Schedule T, 0 including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 18/ 64 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 0 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 0 11. Current Year 184 0 64 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Rhode Island NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 2.774 428 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 7.428 Total (Lines 1 + 2 + 3.99 + 4.99) 2.774 0 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 2.774 0 11. Current Year 7.428 0 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of South Carolina NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 46 606 20 322 456 447 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 46.606 20,322 456,447 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 20.322 456.447 0 11. Current Year 46.606 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of South Dakota NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 6 185 254 195 393 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 6.185 254,195 0 303 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 0 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 393 0 11. Current Year 6.185 254.195 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Tennessee NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 51 136 14 708 5 514 409 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 51 136 14.708 5,514,409 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 14.708 5.514.409 0 11. Current Year 51.136 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of Texas NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 109 269 185 293 48 446 910 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 109.269 185.293 48,446,910 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 48.446.910 0 11. Current Year 109.269 185.293 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Utah NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 20 488 5 221 3 654 097 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 20.488 5,221 3,654,097 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 5.221 3.654.097 0 11. Current Year 20.488 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Vermont NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 2 125 1 256 21 378 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 2 125 1.256 21,378 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 21.378 0 11. Current Year 2.125 1.256 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Virginia NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 136 280 50 481 7 913 718 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 136.280 50.481 7,913,718 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 $\,$ Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 50,481 7.913.718 0 11. Current Year 136.280 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Washington NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 8 949 1 973 5 065 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 8.949 1 973 5.065 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 1.973 0 11. Current Year 8.949 5.065 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of West Virginia NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 13 455 582 997 2 833 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 13 455 2 833 582.997 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 2.833 0 11. Current Year 13.455 582.997 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009 (To Be Filed by April 1) Direct Business in the State of Wisconsin NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 800 763 172 147 19 326 608 0 Premiums, considerations and deposits NOT reported in Schedule T, including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 800.763 172.147 19,326,608 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 0 MODEL ACT BASE (Line 5 minus Line 10) 172.147 19.326.608 0 11. Current Year 800.763 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N

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LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For Year Ended December 31, 2009

(To Be Filed by April 1) Direct Business in the State of Wyoming NAIC Company Code 70580 Deposit - Type Contract Funds and PREMIUMS, CONSIDERATIONS AND DEPOSITS Premiums Considerations A & H Premiums Considerations 1. Premiums, considerations and deposits from Schedule T 4 978 58 467 0 Premiums, considerations and deposits NOT reported in Schedule T, 0 including investment contract receipts credited to a liability account 0 0 2.1 Contract fees for variable contracts with guarantees 2.2 Any premiums, considerations and deposits not reported in Schedule T 3. Amounts, if applicable, that were deducted prior to determining amounts included in Lines 1 and 2 which are in the following categories: 3.1 Transfers to guaranteed Separate Accounts 3.2 Roll over of GICs or annuities into other companies 3.3 Surrenders or other benefits paid out 3.4 Excess interest credited to accounts 3.5 Aggregate write-ins for other amounts deducted prior to determining amounts included in Lines 1 or 2..... 0 0 0 3.99 Total (Lines 3.1 through 3.5) 0 Transfers: 4.1 Enter in Column 2, as a negative number, and Column 4, as a positive number, the total of all amounts received to fund contracts established under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99 n 4.2 Enter in Column 2, as a positive number, and Column 4, as a negative number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are allocated. (Note amounts received to fund contracts established under 403(b) of the U.S. Internal Revenue Code, should not be included in line 4.3 Enter in Column 4, as a positive number, and Column 2, as a negative number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are unallocated 0 4.99 Total (Lines 4.1 + 4.2 + 4.3) 0 Total (Lines 1 + 2 + 3.99 + 4.99) 4 978 Λ 58,467 0 DEVELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHOULD BE DEDUCTED IN DETERMINING THE BASE Do not include any amounts more than once in Lines 6 through 9 Aggregate write-ins for amounts where the insurer is not subject to risk Premiums for portions of policies or contracts NOT guaranteed or under which the entire investment risk is borne by the policyholder. (Please specify such deductions and indicate where such amounts were reported in the Annual Statement) 0 7 Amounts NOT allocated to individuals or individual certificate holders or amounts received for such contracts in excess of limits: 7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans 7.2 Unallocated funding obligations that fund any employee, union, or association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation XXX XXX XXX 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension XXX **Benefit Guaranty Corporation** 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) 0 0 0 0 Aggregate write-ins for Other Deductions Total (Lines 6 + 7.4 + 8 + 9) 0 0 N 0 MODEL ACT BASE (Line 5 minus Line 10) 4.978 0 11. Current Year 0 58.467 DETAILS OF WRITE-INS 3.501 3.502 3.503 3.598. Summary of remaining write-ins for Line 3.5 from overflow page 0 n 0 0 3.599. Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) 0 0 0 0 0601. 0602 0603. 0698. Summary of remaining write-ins for Line 6 from overflow page 0 0 0 0 0699 Total (Lines 0601 through 0603 plus 0698) (Line 6 above) 0 0 0 0901. 0902 0903 กดดล Summary of remaining write-ins for Line 9 from overflow page n n n N 0999 Total (Lines 0901 through 0903 plus 0998) (Line 9 above) 0 0 0 0



LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT **ASSESSMENT BASE RECONCILIATION EXHIBIT**

	Business in the State of Consolidated (To Be F	iled by April 1)		NAIC Company Code	•
		1 Life Insurance	2 Annuity	3	4
	MIUMS, CONSIDERATIONS AND DEPOSITS	Premiums	Considerations	A & H Premiums	Deposit - Type Funds
	Premiums, considerations and deposits from Schedule T	6,366,654	2, 184, 158	296,993,291	0
	Descriptions associated the second describe NOT resembled in Coloradula T	i i			
	including investment contract receipts credited to a liability account	0	0	0	0
	2.1 Contract fees for variable contracts with guarantees	0 <u>L</u>	0	0	0
	2.2 Any premiums, considerations and deposits not reported in Schedule T.	0	0	0	0
3.	Amounts, if applicable, that were deducted prior to determining amounts				
	included in Lines 1 and 2 which are in the following categories:				
	3.1 Transfers to guaranteed Separate Accounts	0	0		
	3.2 Roll over of GICs or annuities into other companies	0	0	0	ļ
	3.3 Surrenders or other benefits paid out	0	0	0	
	3.4 Excess interest credited to accounts	0	0	0	C
	3.5 Aggregate write-ins for other amounts deducted prior to determining	.			
	amounts included in Lines 1 or 2		0	0	
	3.99 Total (Lines 3.1 through 3.5)		0	0	
4.	Transfers:				
	4.1 Enter in Column 2, as a negative number, and Column 4, as a positive				
	number, the total of all amounts received to fund contracts established				
	under Section 403(b) of the U.S. Internal Revenue Code, that are included in Column 2, Lines 1, 2 and 3.99	0	0	<u></u>	
	4.2 Enter in Column 2, as a positive number, and Column 4, as a negative			J	
	number, any amounts reported in Column 4, Lines 1, 2 and 3.99 that are				
	allocated. (Note amounts received to fund contracts established under				
	403(b) of the U.S. Internal Revenue Code, should not be included in line				
	4.2)	0	0	0	(
	4.3 Enter in Column 4, as a positive number, and Column 2, as a negative				
	number, any amounts reported in Column 2, Lines 1, 2 and 3.99 that are				
	unallocated	0	0	0	LC
	4.99 Total (Lines 4.1 + 4.2 + 4.3)	0	0		
	Total (Lines 1 + 2 + 3.99 + 4.99)	6,366,654	, ,	, ,	0
DEV	ELOPMENT OF AMOUNTS INCLUDED IN LINES 1 THROUGH 5 THAT SHO	OULD BE DEDUCTED	IN DETERMINING TH	E BASE	
Do n	ot include any amounts more than once in Lines 6 thru 9				
6.	Aggregate write-ins for amounts where the insurer is not subject to risk.				
	Premiums for portions of policies or contracts NOT guaranteed or under				
	which the entire investment risk is borne by the policyholder. (Please				
	specify such deductions and indicate where such amounts were reported in		0		
_	the Annual Statement)	0	0	0	L
7.	Amounts NOT allocated to individuals or individual certificate holders or				
	amounts received for such contracts in excess of limits:				
	7.1 Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans	vvv	VVV	vvv	
		٨٨٨	ΛΛΛ	L	
	7.2 Unallocated funding obligations that fund any amplayed union or	T			
	7.2 Unallocated funding obligations that fund any employee, union, or				
	association of natural persons benefits plans protected by the Federal				0
	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation				C
	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation				
	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation				
	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S.				C
	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit	XXX	XXX	XXX	C
	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation	XXXXXX	XXX	XXX	
	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation. 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3)	XXX	XXX	XXX	(
8.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation. 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT	XXXXXX	XXX	XXX	C C
8.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in	XXX XXX XXX	XXX XXX	XXX XXX	
	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2)	XXX XXX XXX	XXX XXX XXX	XXX	
9.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions	XXX XXX XXX 	XXX XXX XXX 	XXX	
9. 10.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9)	XXX XXX XXX	XXX XXX XXX	XXX	
9. 10.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10)	XXX XXX XXX 0 0	XXX XXX XXX 0 0	XXX XXX XXX 0 0	
9. 10.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9)	XXX XXX XXX 	XXX XXX XXX 	XXX XXX XXX 0 0	
9. 10. MOD 11.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year	XXX XXX XXX 0 0	XXX XXX XXX 0 0	XXX XXX XXX 0 0	
9. 10. MOD 11.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS	XXX XXX XXX 0 0 0 0	XXX XXX XXX 	XXX XXX XXX 0 0 0 296,993,291	
9. 10. MOD 11. ETAII	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS	XXX XXX XXX 0 0 0 0	XXX XXX XXX 	XXX XXX XXX 0 0 0 296,993,291	
9. 10. MOD 11. ETAII 3.501. 3.502.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS	XXX XXX XXX 0 0 0 0	XXX XXX XXX 	XXX XXX XXX 0 0 0 296,993,291	
9. 10. MOD 11. ETAII .501. .502. .503.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS	XXX XXX XXX 0 0 0 0	XXX XXX XXX 	XXX XXX XXX 	
9. 10. MOD 11. ETAII .501. .502. .503. .598.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page	XXX XXX XXX 0 0 0 0	XXX XXX XXX 0 0 0 2,184,158	XXX XXX XXX 	
9. 10. MOD 11. ETAII 3.501. 3.502. 3.503. 3.598.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS	XXX XXX XXX 0 0 0 0	XXX XXX XXX 	XXX XXX XXX 	
9. 10. MOD 11. ETAII 3.501. 3.502. 3.503. 3.598. 3.599.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above)	XXX XXX XXX 0 0 0 0 6,366,654	XXX XXX XXX 	XXX XXX XXX 	
9. 10. MOD 11. ETAII .501. .502. .503. .598. .599.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above)	XXX XXX XXX 0 0 0 0 0	XXX XXX XXX 	XXX XXX XXX 	
9. 10. MOD 11. ETAII 3.501. 3.502. 3.503. 3.598. 3.599. 9601.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above)	XXX XXX XXX 0 0 0 0 6,366,654	XXX XXX XXX 	XXX XXX XXX 	
9. 10. MOD 11. ETAII 3.501. 3.502. 3.503. 3.598. 3.599. 9601. 9602.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT:: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page	XXX XXX XXX 0 0 0 6,366,654	XXX XXX XXX 	XXX XXX 	
9. 10. 11. ETAII .501. .502. .598. .599. 601. 602. 603.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above)	XXX XXX XXX 0 0 0 6,366,654	XXX XXX XXX 	XXX XXX 	
9. 10. 11. ETAII .501. .503. .598. .599. 601. 602. 603. 698.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT:: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page	XXX XXX XXX 0 0 0 6,366,654	XXX XXX XXX 	XXX XXX XXX 	
9. 10. MOD 11. .501. .502. .503. .598. 601. 602. 603. 698.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) Summary of remaining write-ins for Line 6 from overflow page Total (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX XXX XXX 0 0 0 0 6,366,654	XXX XXX XXX 0 0 0 2,184,158	XXX XXX XXX XXX XXX XXX XXX XXX XXX XX	
9. 10. MOD 11. 501. .502. .503. .598. .599. 601. 602. 603. 699.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) Summary of remaining write-ins for Line 6 from overflow page Total (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX XXX XXX 0 0 0 0 0 0 0	XXX XXX XXX 0 0 0 2,184,158	XXX XXX XXX XXX XXX XXX XXX XXX XXX XX	
9. 10. MOD 11. 501. 502. 503. 598. 601. 602. 603. 699. 901.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) Summary of remaining write-ins for Line 6 from overflow page Total (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX XXX XXX 0 0 0 0 0 0 0	XXX XXX XXX 0 0 0 2,184,158	XXX XXX XXX XXX XXX XXX XXX XXX XXX XX	
9. 10. MOD 11. 501. .502. .503. .598. .599. 601. 602. 603. 699. 901. 902.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) Summary of remaining write-ins for Line 6 from overflow page Total (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX XXX XXX 0 0 0 0 0 0 0	XXX XXX XXX 0 0 0 2,184,158	XXX XXX XXX XXX XXX XXX XXX XXX XXX XX	
9. 10. MOD 11. 501. 502. 503. 598. 599. 601. 602. 603. 699. 901. 902. 903.	association of natural persons benefits plans protected by the Federal Pension Benefit Guaranty Corporation 7.3 Unallocated funding obligations that fund governmental lotteries or employee, union, or association of natural persons benefit plans in excess of \$5 million per contract which are NOT: (a) government retirement plans established under Section 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation. 7.4 Total (Lines 7.1 + 7.2 + 7.3) Dividends/Experience rating credits paid or credited, but only if NOT guaranteed in advance (include only amounts NOT already deducted in determining Lines 1 and 2) Aggregate write-ins for other deductions Total (Lines 6 + 7.4 + 8 + 9) DEL ACT BASE (Line 5 minus Line 10) Current Year LS OF WRITE-INS Summary of remaining write-ins for Line 3.5 from overflow page Total (Lines 3.501 through 3.503 plus 3.598) (Line 3.5 above) Summary of remaining write-ins for Line 6 from overflow page Total (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX XXX XXX 0 0 0 0 0 6,366,654	XXX XXX XXX 0 0 0 2,184,158	XXX	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Alabama (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	44,637	16,040	855,704	0	
MOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	ууу		
	4.3 Amounts in excess of \$5 million per contract		1	1		
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i .	1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		1	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract			l .		
	6.3 Total (Lines 6.1 + 6.2)			ľ		
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)			l		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts		1		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	xxx		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	44,637	16,040	855,704	0	
ETAI	LS OF WRITE - INS					
1001.			 			
			I			
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of Alaska (To	Be Filed by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits		4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	2,490	0	1,079,253	0	
AMOL	JNTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	t XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries of employee, union, or association of natural persons benefit plans:	r				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ххх	XXX		
	3.2 All amounts	XXX	ххх	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which at NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	ХХХ	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	ХХХ	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)	XXX	XXX	XXX	0	
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Rever Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	ххх	XXX		
	5.2 All amounts	XXX	xxx	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	_ _ xxx	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	_ _ xxx	xxx		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	ххх	XXX		
	6.2 Amounts in excess of \$1 million per contract	XXX	ххх	XXX		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	XXX	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	xxx		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	xxx	xxx		
7.	Unallocated funding obligations that fund employee, union, or association natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:	n of				
	7.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	xxx		
	7.2 All amounts	XXX	ХХХ	XXX	<u> </u> 0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	xxx	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT excess of \$5 million per contractholder (New Jersey Only)		XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natu persons benefit plans in excess of \$2 million but NOT in excess of \$5 mil per contract (New Jersey Only)	lion	XXX	xxx		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	2,490	0	1,079,253	0	
i	ILS OF WRITE - INS					
1				†		
i					-	
	Summary of remaining write-ins for Line 10 from overflow page		0	0	0	
I	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	
			<u> </u>		·	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Arizona (To Be F	iled by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	34,392	18,357	9,085,366	0
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX	
	3.2 All amounts	XXX	xxx	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	YYY	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i e	1	
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		1	1	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,////	
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)			ľ	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		I		
	7.2 All amounts		1		0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	xxx	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	34,392	18,357	9,085,366	0
ETAI	LS OF WRITE - INS				
1001.					
1002.			ļ		
1003.					
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Arkansas (To Be F	iled by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	26,879	20,021	358,317	0
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX	
	3.2 All amounts	XXX	xxx	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	YYY	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		1	1	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:				
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)			ľ	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		I		
	7.2 All amounts				0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	xxx	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	26,879	20,021	358,317	0
ETAI	LS OF WRITE - INS				
1001.					
1002.			ļ		
1003.					
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of California (To Be F	iled by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	255,994	86,937	35,653,833	0
MOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX	
	3.2 All amounts	XXX	xxx	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	YYY	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i e	1	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:				
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)			ľ	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		I		
	7.2 All amounts		1		0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	255,994	86,937	35,653,833	0
ETAI	LS OF WRITE - INS				
1001.			ļ		
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Colorado (To Be F	iled by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	25,985	16,519	13,403,863	0
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX	
	3.2 All amounts	XXX	xxx	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	YYY	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		1	1	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:				
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)				
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)			ľ	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		I		
	7.2 All amounts		I		0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	25,985	16,519	13,403,863	0
ETAI	LS OF WRITE - INS				
1001.			ļ		
1002.			ļ		
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of Connecticut (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	10,780	5,505	334	0	
AMOL	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract		1	1		
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i .	1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		1	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,////		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)			ľ		
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts		1		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	10,780	5,505	334	0	
ETAI	LS OF WRITE - INS					
1001.						
1002.			ļ			
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of Delaware (To Be F	Filed by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	14,128	6,383	3,156	0	
AMOL	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX			
	3.2 All amounts	XXX	XXX	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		I			
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	, , , , , , , , , , , , , , , , , , ,				
	5.1 Amounts in excess of \$1 million per contract	XXX	xxx	XXX		
	5.2 All amounts		1			
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)		1			
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)		1			
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	XXX		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	XXX	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ХХХ	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract					
	7.2 All amounts		i		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	ХХХ	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	14,128	6,383	3,156	0	
DETAI	LS OF WRITE - INS					
1001.					ļ	
ı						
	Summary of remaining write-ins for Line 10 from overflow page		0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of District of Columbia (To Be F	Filed by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	78,008	12,140	997,047	0
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX		
	3.2 All amounts	XXX	XXX	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		l .		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	ΛΛ		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
	5.1 Amounts in excess of \$1 million per contract	XXX	xxx	XXX	
	5.2 All amounts		1		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)		1		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)		1		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	XXX	0
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ххх	XXX	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ххх	XXX	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract				
	7.2 All amounts				0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	78,008	12,140	997,047	0
DETAI	LS OF WRITE - INS				
1001.					
1003.					
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Florida (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	423,822	90,373	21,318,308	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ууу	ууу	ууу		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i e	1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i e	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	ΛΛΛ				
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)					
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	ххх		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	xxx	ххх		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts		1		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	xxx	xxx		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	423,822	90,373	21,318,308	0	
ETAI	LS OF WRITE - INS					
1001.						
			I			
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Hawaii (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	2,981	18,539	0	0	
MOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	ХХХ	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract		1			
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		1			
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)					
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ххх		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1			
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ххх	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract				<u></u>	
	7.2 All amounts		1		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	<u> </u>	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	2,981	18,539	0	0	
ETAI	LS OF WRITE - INS					
1001.						
1002.			ļ	ļ		
1003.			ļ		ļ	
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Idaho (To Be F	iled by April 1)		NAIC Company Code	•	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	2,425	1,129	500,273	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract		1	1		
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i .	1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i e	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		////		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)					
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts				0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	2,425	1,129	500,273	0	
ETAI	LS OF WRITE - INS					
1001.						
1002.			ļ			
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Indiana (To Be F	Filed by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity 8 Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	248,125	33,205	8,430,863	0
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX		
	3.2 All amounts	XXX	XXX	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ххх	XXX	ххх	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		I		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:		^^^		
	5.1 Amounts in excess of \$1 million per contract	XXX	xxx	XXX	
	5.2 All amounts		1		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)		1		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)		1		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	6.2 Amounts in excess of \$1 million per contract	XXX	ххх	XXX	
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	XXX	0
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	XXX	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ХХХ	XXX	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract				
	7.2 All amounts		ı		0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	xxx	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	248,125	33,205	8,430,863	0
DETAI	LS OF WRITE - INS				
1001.					
1003.					
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Iowa (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	20,913	8,932	277,352	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract		1	1		
	4.4 Total (Lines 4.1 + 4.2 + 4.3)			1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i e	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,////\.		
	5.1 Amounts in excess of \$1 million per contract	XXX	ХХХ	ХХХ		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)					
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	ххх		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ХХХ	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts				0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)					
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	20,913	8,932	277,352	0	
ETAI	LS OF WRITE - INS					
1001.			<u> </u>			
			I			
			I			
	Summary of remaining write-ins for Line 10 from overflow page		0	0	0	
	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of Kansas (To Be F	Filed by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	4,521	1,161	3,864,130	0	
AMOL	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX			
	3.2 All amounts	XXX	XXX	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	ΛΛ		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
	5.1 Amounts in excess of \$1 million per contract	XXX	xxx	XXX		
	5.2 All amounts					
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)					
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)					
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	XXX		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	XXX	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract					
	7.2 All amounts		1		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	xxx	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	4,521	1,161	3,864,130	0	
DETAI	LS OF WRITE - INS					
1001.						
	Summary of remaining write-ins for Line 10 from overflow page		0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

irect	Business in the State of Kentucky (To Be F	Filed by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	54,058	21,754	13,852	
MO	JNTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract				
	3.2 All amounts	XXX	XXX	XXX	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	YYY	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		I		
_				AAA	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:				
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	6.3 Total (Lines 6.1 + 6.2)	XXX	XXX	XXX	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	7.2 All amounts	XXX			
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	
	BASE				
11.	Current Year 2009	54,058	21,754	13,852	
ETA	ILS OF WRITE - INS				
001.					
	Summary of remaining write-ins for Line 10 from overflow page			0	
	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Louisiana (To Be F	iled by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	29,010	4,379	8,596,379	0
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract				
	3.2 All amounts	XXX	xxx	ХХХ	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	YYY	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i e	1	
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i e	l	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:			,////	
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)				
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		I		
	7.2 All amounts				0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	29,010	4,379	8,596,379	0
ETAI	LS OF WRITE - INS				
1001.					
1002.			ļ		
1003.					
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Maine (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	3,284	2,218	627	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	xxx		
	4.3 Amounts in excess of \$5 million per contract		1	1		
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		1	1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		////		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	xxx	ххх		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts				0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	3,284	2,218	627	0	
ETAI	LS OF WRITE - INS					
1001.						
1002.			ļ			
1003.			ļ			
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of Maryland (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	801,703	424,050	3,493,000	0	
AMOL	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ууу	YYY	YYY		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		l .	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)					
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)			ľ		
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	ХХХ		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ХХХ	ХХХ		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts		1		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	xxx	xxx		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	801,703	424,050	3,493,000	0	
ETAI	LS OF WRITE - INS					
1001.						
				1		
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Massachusetts (T	o Be Filed by April 1)			
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	215,155	54,935	146,389	0
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BAS	SE	•		•
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code to are included in Column 4, Line 1 above	hat		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries employee, union, or association of natural persons benefit plans:			700	
	3.1 Amounts NOT in excess of \$1 million per contract	ХХХ	xxx	XXX	
	3.2 All amounts	XXX	XXX	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which NOT: (a) governmental retirement plans established under Sections 40 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:	are 11, ne			
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	_ _ XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million pe contract	XXX			
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)	ХХХ	XXX	XXX	0
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)	XXX	XXX	XXX	
5.	Unallocated funding obligations issued to fund governmental retiremen plans established under Sections 401 and 457 of the U.S. Internal Rev Code:	enue			
	5.1 Amounts in excess of \$1 million per contract				
	5.2 All amounts	XXX	xxx	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only				
6.	Unallocated funding obligations issued to fund governmental retiremen plans established under Sections 403(b) of the U.S. Internal Revenue Code:	t			
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	xxx	ХХХ	
	6.2 Amounts in excess of \$1 million per contract	XXX	ххх	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	ХХХ	0
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ххх	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only	y)XXX	XXX	ххх	
7.	Unallocated funding obligations that fund employee, union, or associati natural persons benefit plans protected by the Federal Pension Benefi Guaranty Corporation:	on of t			
	7.1 Amounts NOT in excess of \$1 million per contract	ХХХ	xxx	XXX	
	7.2 All amounts	XXX	xxx	XXX	<u></u> 0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only	y)XXX	xxx	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NC excess of \$5 million per contractholder (New Jersey Only)		XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of national persons benefit plans in excess of \$2 million but NOT in excess of \$5 per contract (New Jersey Only)	nillion		XXX	
10.	Aggregate write-ins for other deductions	0		0	0
	BASE				
11.	Current Year 2009	215,155	54,935	146,389	0
DETAI	LS OF WRITE - INS				
i			ļ		
1002.			ļ		
1					
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0		0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of Michigan (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	411,432	92,949	12,777,721	0	
AMOL	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ууу	ууу	YYY		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		l .	1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		l .	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	ΛΛΛ				
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)					
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract	XXX	ДХХХ	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	xxx	ХХХ		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I		<u></u>	
	7.2 All amounts		1		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	xxx	xxx		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	411,432	92,949	12,777,721	0	
DETAI	LS OF WRITE - INS					
1001.						
				1		
1003.					ļ	
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct Business in the State of Minnesota		Be Filed by April 1)	NAIC Company Code 70580			
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits		4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	121,143	6,213	3,264,181	0	
AMOL	JNTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract					
	3.2 All amounts	ХХХ	ххх	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	ХХХ	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)	XXX	ХХХ	XXX	0	
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenu Code:					
	5.1 Amounts in excess of \$1 million per contract	ХХХ	ХХХ	XXX		
	5.2 All amounts	ХХХ	xxx	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ххх	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	xxx	ххх		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	ххх		
	6.2 Amounts in excess of \$1 million per contract					
	6.3 Total (Lines 6.1 + 6.2)					
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)					
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
7.	Unallocated funding obligations that fund employee, union, or association natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:	of				
	7.1 Amounts NOT in excess of \$1 million per contract	ХХХ	xxx	ххх		
	7.2 All amounts	XXX	xxx	XXX	0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	ХХХ	ХХХ		
8.	Unallocated funding obligations issued to fund government lotteries NOT excess of \$5 million per contractholder (New Jersey Only)		XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natu persons benefit plans in excess of \$2 million but NOT in excess of \$5 milli per contract (New Jersey Only)	ral	XXX	xxx		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	121,143	6,213	3,264,181	0	
1	LS OF WRITE - INS					
1			 	 	1	
i			 			
	Summary of remaining write-ins for Line 10 from overflow page		^	0	^	
I	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	
1099.	Totals (Lines 1001 tillough 1003 plus 1096) (Line 10 above)	1 0	1 0	1 0	1 0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Mississippi (To Be F	iled by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	23,968	4,899	2,688,259	0
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX	
	3.2 All amounts	XXX	xxx	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ххх	XXX	ууу	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i e	l	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,////	
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)				
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		I		
	7.2 All amounts				0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	_ XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	xxx	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	23,968	4,899	2,688,259	0
ETAI	LS OF WRITE - INS				
1001.			ļ		_
1002.			ļ		
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Missouri (To Be F	iled by April 1)			
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	26,815	12,350	9,222,437	0
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX	
	3.2 All amounts	XXX	xxx	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	ууу	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i e	1	
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		1	1	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		////	
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)				
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		I		
	7.2 All amounts		1		0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	26,815	12,350	9,222,437	0
ETAI	LS OF WRITE - INS				
1001.					
1002.			ļ		
1003.					
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Montana (To Be F	iled by April 1)		NAIC Company Code	_	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	4,681	1,052	339	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	3.2 All amounts	XXX	Lxxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ххх	XXX	ууу		
	4.3 Amounts in excess of \$5 million per contract		1	1		
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		1	1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		l .	l		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)			ľ		
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	ХХХ		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ХХХ	ХХХ		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		i .			
	7.2 All amounts		I .		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	<u> </u>	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	4,681	1,052	339	0	
ETAI	LS OF WRITE - INS					
1001.						
1003.			ļ			
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct Business in the State of Nebraska (e Filed by April 1)	NAIC Company Code 70580			
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits		4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	16,777	5,045	135,590	0	
AMOL	JNTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX		
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract					
	3.2 All amounts	XXX	XXX	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)	XXX	XXX	XXX	0	
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenu Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	ХХХ	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	xxx		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	xxx		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract					
	6.2 Amounts in excess of \$1 million per contract	1	1			
	6.3 Total (Lines 6.1 + 6.2)					
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ххх		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ххх		
7.	Unallocated funding obligations that fund employee, union, or association natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:	of				
	7.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	xxx		
	7.2 All amounts	XXX	XXX	xxx	0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	xxx		
8.	Unallocated funding obligations issued to fund government lotteries NOT i excess of \$5 million per contractholder (New Jersey Only)		XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natur persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	on	xxx	xxx		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	16,777	5,045	135,590	0	
1	ILS OF WRITE - INS					
1002.						
			ļ			
1098.	Summary of remaining write-ins for Line 10 from overflow page		0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For The Year Ended December 31, 2009
(To Be Filed by April 1)

JII CUL E	Business in the State of Nevada (To Be F	Filed by April 1)	2	NAIC Company Code	
		1 Life Insurance Premium	Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	13,387	1,851	4,228,394	(
MOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	3.2 All amounts	XXX	XXX	XXX	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	YYY	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)				
	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:		^^_		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts				
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)				
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	6.3 Total (Lines 6.1 + 6.2)	XXX	XXX	XXX	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		XXX		
	7.2 All amounts		XXX		
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	xxx	XXX	
	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
	Aggregate write-ins for other deductions	0	0	0	(
	BASE				
11.	Current Year 2009	13,387	1,851	4,228,394	(
DETAIL	LS OF WRITE - INS				
1001.					
			ļ		
	Summary of remaining write-ins for Line 10 from overflow page		0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of New Jersey (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	371,068	145,112	5,187	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	YYY		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i			
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i .	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,////		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)			ľ		
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts				0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	xxx		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	371,068	145,112	5,187	0	
DETAI	LS OF WRITE - INS					
1001.						
1003.			ļ			
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of New Mexico (To Be F	iled by April 1)		NAIC Company Code 70580	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	4,201	1,014	819,920	0
MOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	3.2 All amounts	XXX	xxx	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ууу	ууу	ууу	
	4.3 Amounts in excess of \$5 million per contract		1	1	
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		1	1	
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)				
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	ΛΛΛ			
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	ХХХ	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	xxx	ХХХ	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		i .		
	7.2 All amounts		I .		0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	4,201	1,014	819,920	0
ETAI	LS OF WRITE - INS				
1001.			ļ		
1002.			ļ		
1003.			ļ		
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of New York (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	25,135	9,687	10,285	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract		1	1		
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		1	1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,////\.		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)	XXX	XXX	ХХХ	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	ххх		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX			
	7.2 All amounts				0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)					
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	25,135	9,687	10,285	0	
DETAI	LS OF WRITE - INS	· · · · · · · · · · · · · · · · · · ·				
1001.			<u> </u>			
			I			
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of North Dakota (To Be F	Filed by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity 8 Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	543	0	503,829	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract		I			
	3.2 All amounts	XXX	XXX	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		I			
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts		1			
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)		1			
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)		1			
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	XXX		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	XXX	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ХХХ	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract					
	7.2 All amounts		ı		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	543	0	503,829	0	
DETAI	LS OF WRITE - INS					
1001.			ļ			
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Ohio (To Be F	Filed by April 1)		NAIC Company Code	_	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity 8 Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	896,278	190,616	16,182,626	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX			
	3.2 All amounts	XXX	XXX	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ххх	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts					
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)					
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXXX	XXX		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	 	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	ļ +	
	6.3 Total (Lines 6.1 + 6.2)	XXX	XXX	XXX	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract					
	7.2 All amounts				0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	 	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	896,278	190,616	16,182,626	0	
DETAI	LS OF WRITE - INS					
1001.					ļ	
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of Oklahoma (To Be F	Filed by April 1)		NAIC Company Code	_	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity of Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	5,000	2,371	2,752,223	0	
AMOL	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	3.2 All amounts	XXX	XXX	XXX		
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ХХХ	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract	XXX	XXX	XXX		
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i e			
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)					
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)		1			
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)	XXX	XXX	XXX		
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)					
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	1		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract					
	7.2 All amounts					
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	 XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	xxx	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	(
	BASE					
11.	Current Year 2009	5,000	2,371	2,752,223		
	LS OF WRITE - INS					
1002.						
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0		
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0		



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

For The Year Ended December 31, 2009
(To Be Filed by April 1)

JII ect E	Business in the State of Oregon (To Be F	iled by April 1)	2	NAIC Company Code	
		1 Life Insurance Premium	Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity 8 Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	6,495	1,999	5,093	0
MOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	3.2 All amounts	XXX	XXX	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX	
	4.3 Amounts in excess of \$5 million per contract	XXX	XXX	XXX	0
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)	XXX	XXX	XXX	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:				
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)	XXX	XXX	XXX	c
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)		XXX	XXX	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract				
	7.2 All amounts				0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	6,495	1,999	5,093	C
ETAII	LS OF WRITE - INS				
001.					
	Summary of remaining write-ins for Line 10 from overflow page		0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Puerto Rico (To Be F	Filed by April 1)		NAIC Company Code	_	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity 8 Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	184	0	64	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX			
	3.2 All amounts	XXX	XXX	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		I			
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts		1			
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)		1			
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)		1			
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	XXX		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	XXX	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ХХХ	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract					
	7.2 All amounts		ı		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	184	0	64	0	
DETAI	LS OF WRITE - INS					
1001.			 		ļ	
1003.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Rhode Island (To Be F	Filed by April 1)		NAIC Company Code	_	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity 8 Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	7,428	2,774	0	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX			
	3.2 All amounts	XXX	XXX	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		I			
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
	5.1 Amounts in excess of \$1 million per contract	XXX	xxx	XXX		
	5.2 All amounts		1			
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)		1			
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)		1			
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	XXX		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	XXX	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ХХХ	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract					
	7.2 All amounts		i		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	ХХХ	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	7,428	2,774	0	0	
DETAI	LS OF WRITE - INS					
1001.						
1002.						
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of South Carolina (To Be F	Filed by April 1)		NAIC Company Code	_	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	46,606	20,322	456,447	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	3.2 All amounts	XXX	ХХХ	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	YXX	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	xxx	XXX		
	5.2 All amounts		1			
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)		1			
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)		1			
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	XXX		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	XXX	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ххх	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ххх	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract					
	7.2 All amounts		1		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	xxx	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	46,606	20,322	456,447	0	
DETAI	LS OF WRITE - INS					
1001.						
	Summary of remaining write-ins for Line 10 from overflow page		0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of South Dakota (To B	e Filed by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits		4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	6,185	393	254, 195	0	
AMOL	JNTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract					
	3.2 All amounts	XXX	xxx	ххх	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	ХХХ	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	ХХХ	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)	XXX	ХХХ	XXX	0	
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenu Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	ххх	XXX		
	5.2 All amounts	XXX	ххх	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	xxx		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	xxx	xxx		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract					
	6.2 Amounts in excess of \$1 million per contract		1			
	6.3 Total (Lines 6.1 + 6.2)	XXX	ххх	ххх	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)					
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ххх	xxx		
7.	Unallocated funding obligations that fund employee, union, or association natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:	of				
	7.1 Amounts NOT in excess of \$1 million per contract	XXX	xxx	xxx		
	7.2 All amounts	XXX	ХХХ	xxx	0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	ххх	xxx		
8.	Unallocated funding obligations issued to fund government lotteries NOT i excess of \$5 million per contractholder (New Jersey Only)		XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natur persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	on	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	6,185	393	254, 195	0	
1	LS OF WRITE - INS					
1			†		<u> </u>	
1			†	<u> </u>	<u> </u>	
1	Summary of remaining write-ins for Line 10 from overflow page		0	0	n	
i	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	n l	
505.			<u> </u>	·		



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Tennessee (To Be F	Filed by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	51,136	14,708	5,514,409	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX			
	3.2 All amounts	XXX	XXX	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ххх	XXX	ххх		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
	5.1 Amounts in excess of \$1 million per contract	XXX	xxx	XXX		
	5.2 All amounts		1			
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	XXX		
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	XXX	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	xxx	XXX		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract					
	7.2 All amounts		I		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	51,136	14,708	5,514,409	0	
DETAI	LS OF WRITE - INS					
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of Texas (To B	e Filed by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits		4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	109,269	185,293	48,446,910	0	
AMOL	JNTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	3.2 All amounts	XXX	xxx	ххх	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	ХХХ	XXX		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)	XXX	ххх	XXX	0	
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)					
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenu Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	ххх	XXX		
	5.2 All amounts	XXX	ххх	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ххх	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)					
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	ххх		
	6.2 Amounts in excess of \$1 million per contract					
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ххх	0	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ххх	ххх		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ххх	xxx		
7.	Unallocated funding obligations that fund employee, union, or association natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:	of				
	7.1 Amounts NOT in excess of \$1 million per contract	XXX	xxx	xxx		
	7.2 All amounts	XXX	XXX	XXX	0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	ХХХ	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)		XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natur persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	al on	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	109,269	185,293	48,446,910	0	
i	LS OF WRITE - INS					
1			†		<u> </u>	
1						
	Summary of remaining write-ins for Line 10 from overflow page		0	0	n	
I	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	
	(0	·		



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Utah (To Be F	iled by April 1)		NAIC Company Code		
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	20,488	5,221	3,654,097	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	ууу		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i			
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i e	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:					
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ		
	6.3 Total (Lines 6.1 + 6.2)			ľ		
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts				0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	<u> </u>	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	xxx		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	20,488	5,221	3,654,097	0	
ETAI	LS OF WRITE - INS					
1001.						
			I		ļ	
1003.					ļ	
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Vermont (To Be Fi	led by April 1)		NAIC Company Code	•	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	2,125	1,256	21,378	0	
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	ууу		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		i .	1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i .	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract					
	6.2 Amounts in excess of \$1 million per contract					
	6.3 Total (Lines 6.1 + 6.2)					
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts		1	l	0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	<u></u>	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	2,125	1,256	21,378	0	
ETAI	LS OF WRITE - INS					
1001.						
			I	I		
	Summary of remaining write-ins for Line 10 from overflow page			0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

irect	Business in the State of Virginia (To Be F	Filed by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	136,280	50,481	7,913,718	
MOL	JNTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract			XXX	
	3.2 All amounts	XXX	XXX	XXX	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX.	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	XXX	XXX	
	4.3 Amounts in excess of \$5 million per contract				
	4.4 Total (Lines 4.1 + 4.2 + 4.3)		1		
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i e		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:		^^_		
	5.1 Amounts in excess of \$1 million per contract	XXX	l xxx	XXX	
	5.2 All amounts		1		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)				
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)		1		
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	XXX	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		I		
	7.2 All amounts		XXX	XXX	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	
	BASE				
11.	Current Year 2009	136,280	50,481	7,913,718	
	ILS OF WRITE - INS				
			I		
			i e		
098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	
099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of Washington (To Be F	iled by April 1)		NAIC Company Code	
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	8,949	1,973	5,065	0
MOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	3.2 All amounts	XXX	Lxxx	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	YYY	YYY	YYY	
	4.3 Amounts in excess of \$5 million per contract		1	1	
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)				
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:		7///		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)			ľ	
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract		I		
	7.2 All amounts				0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX	
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	8,949	1,973	5,065	0
ETAI	LS OF WRITE - INS				
1001.					
			I		
1003.					
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	Business in the State of West Virginia (To Be F						
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits		
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	13,455	2,833	582,997	0		
AMOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE						
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0		
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:						
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX			
	3.2 All amounts	XXX	xxx	XXX	0		
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:						
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX			
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ууу	ууу	YYY			
	4.3 Amounts in excess of \$5 million per contract		1	1			
	4.4 Total (Lines 4.1 + 4.2 + 4.3)						
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		i .	1			
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	,,,,,					
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX			
	5.2 All amounts	XXX	XXX	XXX			
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX			
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	XXX			
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:						
	6.1 Amounts NOT in excess of \$1 million per contract		1	1			
	6.2 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ			
	6.3 Total (Lines 6.1 + 6.2)			ľ			
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	ХХХ			
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	XXX	ХХХ			
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:						
	7.1 Amounts NOT in excess of \$1 million per contract		I				
	7.2 All amounts				0		
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX			
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX			
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	xxx	xxx			
10.	Aggregate write-ins for other deductions	0	0	0	0		
	BASE						
11.	Current Year 2009	13,455	2,833	582,997	0		
DETAI	LS OF WRITE - INS						
1001.							
			I				
1003.			ļ				
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0		
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0		



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct E	iness in the State of Wisconsin (To Be Filed by April 1) NAIC Company Code 70580					
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity & Other Unallocated Fund Deposits	
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	800,763	172,147	19,326,608	0	
MOU	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE					
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0	
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:					
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX		
	3.2 All amounts	XXX	xxx	XXX	0	
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:					
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX		
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	ууу	YYY	yyy		
	4.3 Amounts in excess of \$5 million per contract					
	4.4 Total (Lines 4.1 + 4.2 + 4.3)					
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		l .	1		
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:	ΑΛΛ				
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	XXX		
	5.2 All amounts	XXX	XXX	XXX		
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX		
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)					
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:					
	6.1 Amounts NOT in excess of \$1 million per contract		1	1		
	6.2 Amounts in excess of \$1 million per contract			l .		
	6.3 Total (Lines 6.1 + 6.2)			ľ		
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	ХХХ		
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	ХХХ	XXX		
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:					
	7.1 Amounts NOT in excess of \$1 million per contract		I			
	7.2 All amounts		1		0	
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX		
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX		
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)	XXX	XXX	XXX		
10.	Aggregate write-ins for other deductions	0	0	0	0	
	BASE					
11.	Current Year 2009	800,763	172,147	19,326,608	0	
ETAI	LS OF WRITE - INS					
1001.			ļ			
1002.			ļ			
1098.	Summary of remaining write-ins for Line 10 from overflow page	0	0	0	0	
1099.	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0	



ADJUSTMENTS TO THE LIFE, HEALTH & ANNUITY GUARANTY ASSOCIATION MODEL ACT ASSESSMENT BASE RECONCILIATION EXHIBIT

Direct I	Business in the State of Wyoming (To Be F				
		1 Life Insurance Premium	2 Allocated Annuity and Other Allocated Fund Deposits	3 Accident & Health Premium	4 Unallocated Annuity 8 Other Unallocated Fund Deposits
1.	MODEL ACT BASE (Line 11 of the Reconciliation Exhibit)	4,978	0	58,467	0
AMOL	INTS REQUIRED TO DETERMINE THIS STATE'S ASSESSMENT BASE				
2.	Enter in Column 2, as a positive number, and Column 4, as a negative number, the total of all amounts received to fund allocated contracts established under Section 403(b) of the U.S. Internal Revenue Code that are included in Column 4, Line 1 above	XXX		XXX	0
3.	Unallocated funding obligations that do NOT fund government lotteries or employee, union, or association of natural persons benefit plans:				
	3.1 Amounts NOT in excess of \$1 million per contract	XXX	ХХХ	XXX	
	3.2 All amounts	XXX	xxx	XXX	0
4.	Unallocated funding obligations issued to fund government lotteries or employee, union, or association of natural persons benefit plans which are NOT: (a) governmental retirement plans established under Sections 401, 403(b) or 457 of the U.S. Internal Revenue Code, or (b) protected by the Federal Pension Benefit Guaranty Corporation:				
	4.1 Amounts NOT in excess of \$1 million per contract	XXX	XXX	XXX	
	4.2 Amounts in excess of \$1 million but NOT in excess of \$5 million per contract	XXX	xxx	xxx	
	4.3 Amounts in excess of \$5 million per contract		1	1	
	4.4 Total (Lines 4.1 + 4.2 + 4.3)				
	4.5 Amounts up to \$7.5 million, per contract (Minnesota only)		l .	1	
5.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 401 and 457 of the U.S. Internal Revenue Code:		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
	5.1 Amounts in excess of \$1 million per contract	XXX	XXX	ХХХ	
	5.2 All amounts	XXX	XXX	XXX	
	5.3 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	XXX	XXX	
	5.4 Amounts not in excess of \$7.5 million per contract (Minnesota Only)				
6.	Unallocated funding obligations issued to fund governmental retirement plans established under Sections 403(b) of the U.S. Internal Revenue Code:				
	6.1 Amounts NOT in excess of \$1 million per contract		1	1	
	6.2 Amounts in excess of \$1 million per contract	XXX	ХХХ	ХХХ	
	6.3 Total (Lines 6.1 + 6.2)	XXX	ХХХ	ХХХ	0
	6.4 Amounts in excess of \$2 million per contract (New Jersey Only)	XXX	ХХХ	ххх	
	6.5 Amounts not in excess of \$7.5 million per contract (Minnesota Only)	XXX	xxx	XXX	
7.	Unallocated funding obligations that fund employee, union, or association of natural persons benefit plans protected by the Federal Pension Benefit Guaranty Corporation:				
	7.1 Amounts NOT in excess of \$1 million per contract	XXX	xxx		
	7.2 All amounts		I .		0
	7.3 Amounts NOT in excess of \$2 million per contract (New Jersey only)	XXX	XXX	XXX	
8.	Unallocated funding obligations issued to fund government lotteries NOT in excess of \$5 million per contractholder (New Jersey Only)	XXX	XXX	XXX	
9.	Unallocated funding obligations that fund employee or association of natural persons benefit plans in excess of \$2 million but NOT in excess of \$5 million per contract (New Jersey Only)				
10.	Aggregate write-ins for other deductions	0	0	0	0
	BASE				
11.	Current Year 2009	4,978	0	58,467	0
DETAI	LS OF WRITE - INS	,		,	
1001.					
			1		
	Summary of remaining write-ins for Line 10 from overflow page		0	0	0
	Totals (Lines 1001 through 1003 plus 1098) (Line 10 above)	0	0	0	0